



Next-Generation gTLD Registration Directory Services to Replace WHOIS Preliminary Issue Report

Marika Konings | GNSO Council Meeting | 23 July 2015

Current Status

- ⦿ On 26 May, 2015, the ICANN Board passed a resolution adopting the Process Framework developed by the EP-WG, consisting of Board and GNSO Council members, and reaffirming its 2012 request for a Board-initiated PDP to define the purpose of collecting, maintaining and providing access to gTLD registration data, and to consider safeguards for protecting data, using the recommendations in the EWG's Final Report as an input to, and, if appropriate, as the foundation for a new gTLD policy.
- ⦿ Staff published this Preliminary Issue Report for public comment on 13 July – Comments to be submitted by 6 September 2015 (see <https://www.icann.org/public-comments/rds-prelim-issue-2015-07-13-en>).
- ⦿ Preliminary Issue Report follows the approach as outlined by the EP-WG Process Framework (see <http://whois.icann.org/sites/default/files/files/next-generation-rds-framework-26apr15-en.pdf>).

Preliminary Issue Report

1

Extensive background information on the issue

2

Additional input relevant to the PDP (e.g. GAC communiqués, Art. 29 WP letters, WHOIS

3

Expert Working Group Final Report & Recommendations

4

Introduces process framework to address the many significant and interdependent policy areas

5

Discussion of Proposed Issues

6

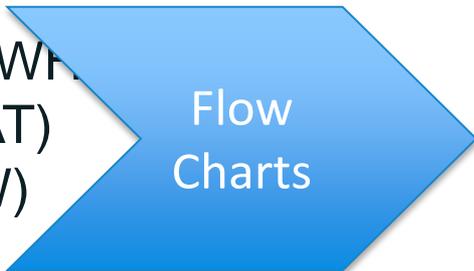
Draft PDP WG Charter

EP-WG recommendations for RDS PDP WG

- The EP-WG recommends a 3-Phase PDP WG approach:
<http://whois.icann.org/sites/default/files/files/next-generation-rds-framework-26apr15-en.pdf>

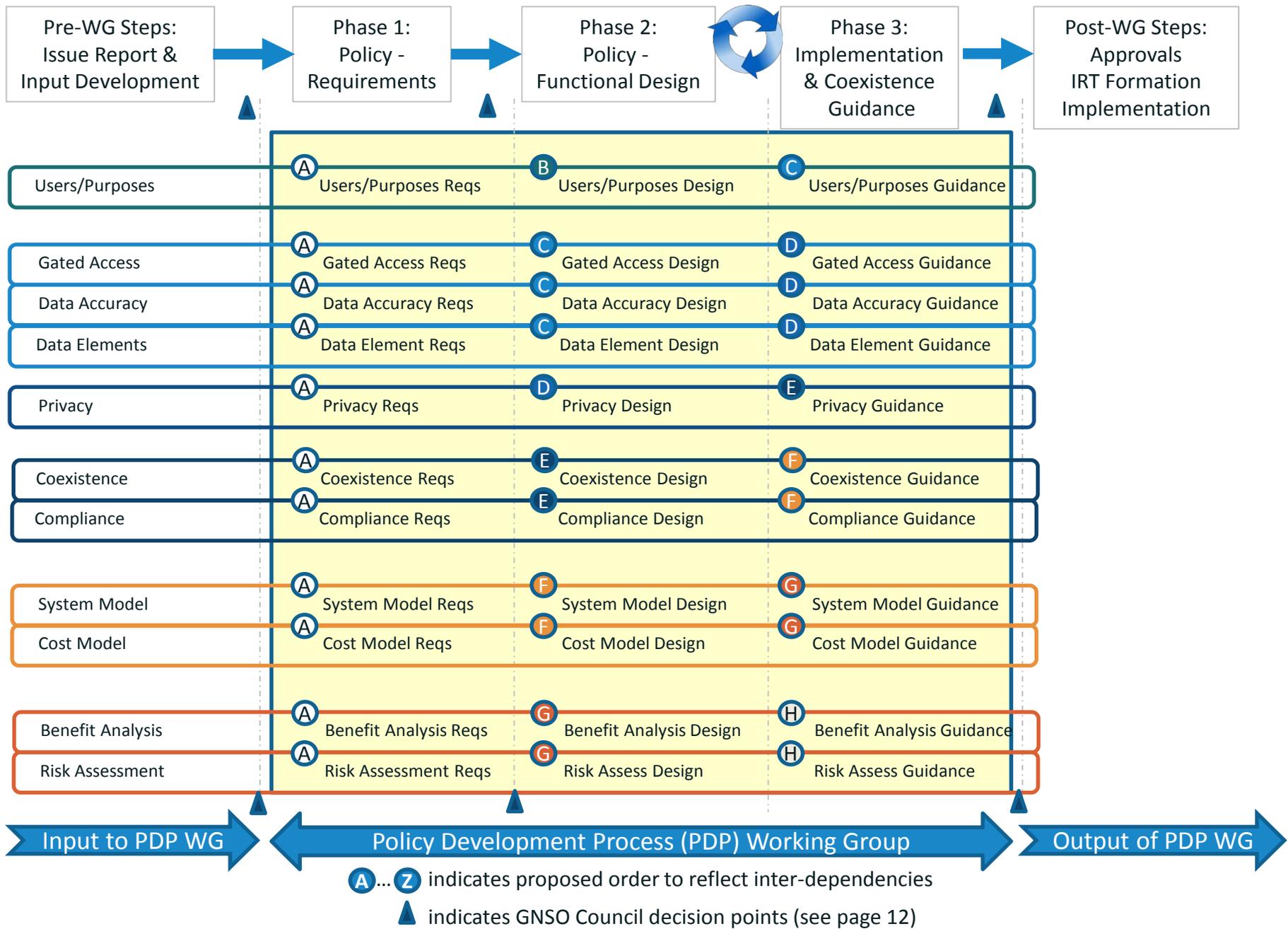
- Groups and sequences principles in the EWG's Final Report

- Phase 1: Policy Requirements Definition (IF & WHY)
- Phase 2: Policy Functional Design (WHAT)
- Phase 3: Implementation Guidance (HOW)

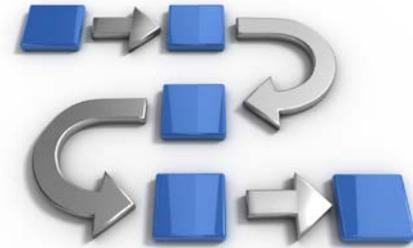


Flow
Charts

- Pre-WG Steps: New Issue Report (including needed inputs and draft PDP WG Charter); Public Comment; Final Issue Report; GNSO Council consideration; PDP WG formation.
- Post-WG Steps: GNSO Council and Board Approval; IRT Formation; Implementation informed by PDP WG guidance



Recommended methodology and timeline



- Oversight
 - GNSO Council should **approve Phase 1 outputs** before the PDP WG proceeds to Phase 2
 - To ensure alignment with Phase 1 requirements, oversight should be provided by **GNSO PDP WG coordination team**
- Timeline
 - To foster sustained progress and timely completion, the WG should work towards a **defined timeline and targets**
 - Phases 2-3 contain opportunities for parallel progress, sequenced for **inter-dependencies**, subject to **resourcing**
- Methodology
 - In addition to regular calls, PDP WG may hold **periodic face-to-face meetings**, including subteam and plenary meetings

Input Requested

- ⦿ Community input particularly with regards to information that may be missing from this Preliminary Issue Report, or necessary corrections or updates to information in the Preliminary Issue Report, including PDP WG Charter.
- ⦿ Any issues that should be explored in addition to those already described:
 - Users/Purposes: Who should have access to gTLD registration data and why?
 - Gated Access: What steps should be taken to control data access for each user/purpose?
 - Data Accuracy: What steps should be taken to improve data accuracy?
 - Data Elements: What data should be collected, stored, and disclosed?
 - Privacy: What steps are needed to protect data and privacy?
 - Coexistence: What steps should be taken to enable next-generation RDS coexistence with and replacement of the legacy WHOIS system
 - Compliance: What steps are needed to enforce these policies?
 - System Model: What system requirements must be satisfied by any next-generation RDS implementation?
 - Cost: What costs will be incurred and how must they be covered?
 - Benefits: What benefits will be achieved and how will they be measured?
 - Risks: What risks do stakeholders face and how will they be reconciled?

Where are we in the PDP process?

GNSO Policy Development Process *Summarized

*Some steps omitted, for brevity.



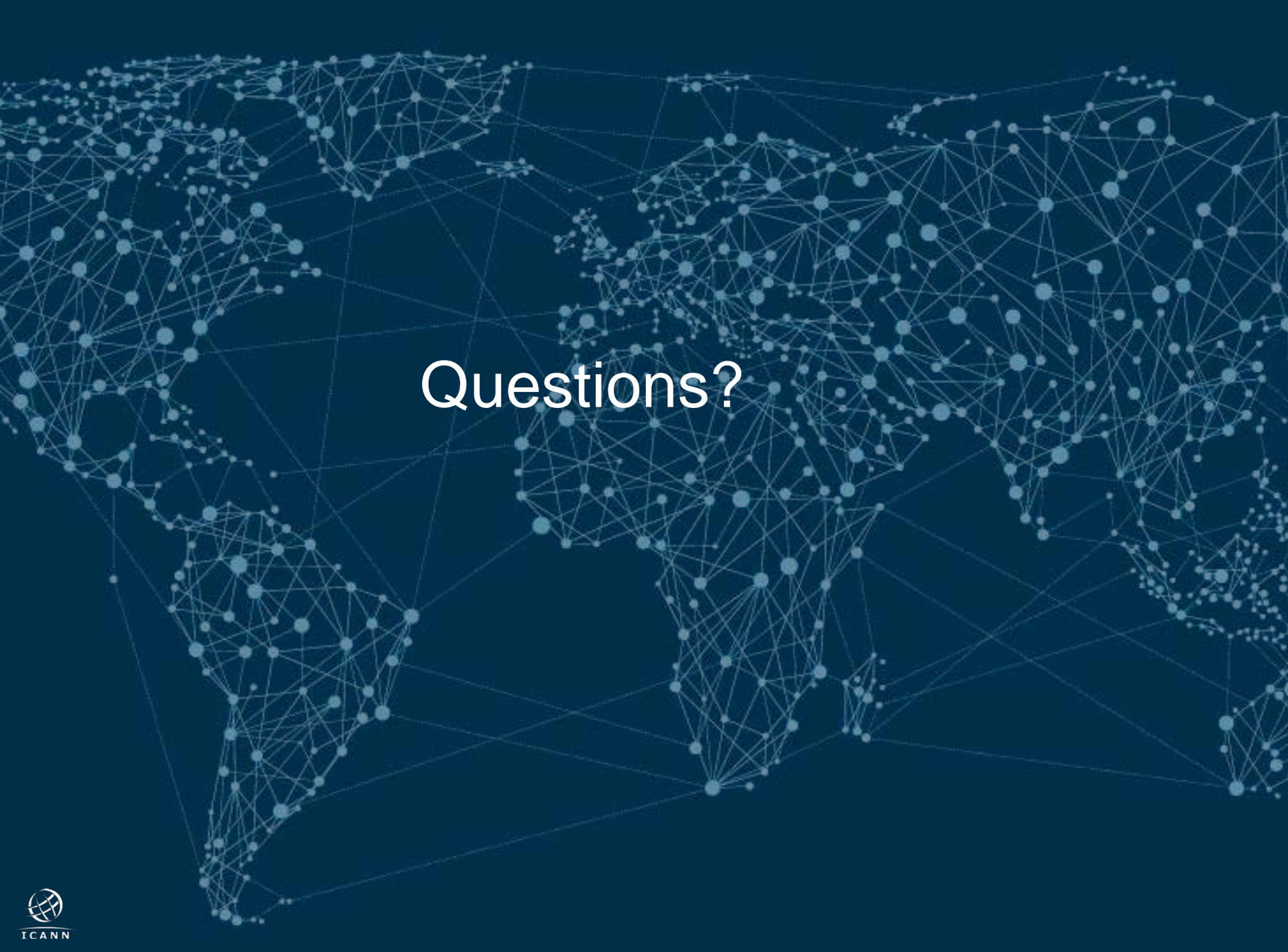
GNSO PDP Materials: <http://gns0.icann.org/en/node/31379/>

Where are we in the PDP process?

Nov 2012	Board	<input checked="" type="checkbox"/> Direct preparation of a (PDP) Issue Report
Nov 2012	Board	<input checked="" type="checkbox"/> Launch the EWG
Mar 2013	Staff	<input checked="" type="checkbox"/> PDP - Preliminary Issue Report
Mar-Apr 2013	Community	<input checked="" type="checkbox"/> Public Comment Forum (on above)
Jun 2013	EWG	<input checked="" type="checkbox"/> EWG Initial Report
Jun-Aug 2013	Community	<input checked="" type="checkbox"/> Public Comment Forum, Consultations (on above)
Nov 2013	EWG	<input checked="" type="checkbox"/> EWG Update Report
Dec-Feb 2014	Community	<input checked="" type="checkbox"/> Public Comment Forum, Consultations (on above)
Jun 2014	EWG	<input checked="" type="checkbox"/> EWG Final Report
Oct 2014- April 2015	EP-WG	<input checked="" type="checkbox"/> Develop Recommendations on PDP WG Process and Charter Guidance
July 2015	Staff	<input checked="" type="checkbox"/> New Preliminary Issue Report reflecting EP-WG output
July – August 2015	Community	<input type="checkbox"/> Public Comment Period on New Issue Report
September 2015	Staff	<input type="checkbox"/> Final Issue Report reflecting Public Comments
October 2015	GNSO Council	<input type="checkbox"/> Refine Charter for PDP Working Group (if needed)
	GNSO Council	<input type="checkbox"/> Adopt Charter (start of PDP WG process)

For further information

- Public Comment Forum: <https://www.icann.org/public-comments/rds-prelim-issue-2015-07-13-en>
- Next Generation gTLD RDS to Replace WHOIS Preliminary Issue Report: <http://whois.icann.org/sites/default/files/files/rds-prelim-issue-13jul15-en.pdf>
- Proposed PDP Working Group Charter: <http://gnso.icann.org/en/issues/whois/rds-draft-charter-13jul15-en.pdf>
- ICANN Board Resolutions regarding Next-Generation gTLD RDS: <https://www.icann.org/resources/board-material/prelim-report-2012-11-08-en>
<https://www.icann.org/resources/board-material/resolutions-2015-04-26-en#1.f>
- EP-WG Framework for a PDP on Next-Generation RDS: <http://whois.icann.org/sites/default/files/files/next-generation-rds-framework-26apr15-en.pdf>

A world map where the continents are defined by a complex network of white dots and thin white lines, resembling a network or data visualization. The background is a solid dark blue color.

Questions?